

FACTS	WHAT DOES TRUIST INVESTMENT SERVICES, INC. ("TIS") DO WITH YOUR PERSONAL INFORMATION?		
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.		
What?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>▪ Social Security number and income</li> <li>▪ account balances and payment history</li> <li>▪ credit history and credit scores</li> </ul>		
How?	All financial companies need to share customers' personal information to run their everyday business—to process transactions, maintain customer accounts, and report to credit bureaus. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons TIS chooses to share; and whether you can limit this sharing.		
Reasons we can share your personal information		Does TIS share?	Can you limit this sharing?
<b>For our everyday business purposes:</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus		Yes	No
<b>For our marketing purposes:</b> to offer our products and services to you		Yes	No
<b>For joint marketing with other financial companies</b>		No	We don't share
<b>For our affiliates' everyday business purposes:</b> information about your transactions and experiences		Yes	No
<b>For our affiliates' everyday business purposes:</b> information about your creditworthiness		Yes	Yes (See below) *
<b>For our affiliates to market to you</b>		Yes	Yes (See below) *
<b>For our nonaffiliates to market to you</b>		No \$	We don't share
To limit our sharing	<p>* Call 1-800-432-9472 to manage preferences related to marketing and affiliate sharing —our menu will prompt you through your choices. Or visit the Privacy Center at <a href="https://privacycenter.truist.com">https://privacycenter.truist.com</a> and go the Sharing Preferences.</p> <p>If you are a new customer, we can begin sharing information 30 days from the date you receive this notice. When you are no longer our customer, we may continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing and to restrict telemarketing, direct marketing postal mail, and email solicitations.</p>		
Questions?	• Call 1-800-432-9472 or go to <a href="https://www.truist.com/privacy">https://www.truist.com/privacy</a>		

Who we are	
Who is providing this notice?	Truist Investment Services, Inc.
What we do	
How does TIS protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. For more information, visit with <a href="https://www.truist.com/fraud-and-security">https://www.truist.com/fraud-and-security</a>.</p> <p>Our employees are bound by our Code of Ethics and policies to access consumer information only for legitimate business purposes and to keep information about you confidential.</p>
How does TIS collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>• open an account or seek advice about your investments</li> <li>• direct us to buy securities or direct us to sell securities</li> <li>• tell us about your investment or retirement portfolio</li> <li>• give us your income information</li> <li>• tell us where to send the money</li> </ul> <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>• sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>• affiliates from using your information to market to you</li> <li>• sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices related to marketing and affiliate sharing will apply individually unless you tell us otherwise.
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>Our affiliates include companies with a Truist name; financial companies such as Truist Advisory Services, Inc and Truist Bank.</i></li> </ul>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>TIS does not share with nonaffiliates so they can market to you.</i></li> </ul>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ <i>TIS does not jointly market.</i></li> </ul>

## Other important information

**\$ Broker Protocol:** Your financial advisor may be permitted to use certain information to contact you once at a new firm, as a means to maintain and service your accounts. The information they may use is limited to your name, address, phone number, email address and account title (as permitted by Protocol for Broker Recruiting or "Broker Protocol"). Call 1-888-516-5247 (option 1) to opt out your account from the sharing of contact information with a non-affiliated securities broker-dealer should your financial advisor's affiliation with TIS end.

**State and Local Regulations:** If, in addition to federal law, you are protected by specific state or local rules concerning information sharing and marketing, TIS complies with these protections as well.

**California Residents:** Under California law, we will not share information we collect about CA residents with companies outside Truist unless we have your consent or the law allows. We will limit sharing among Truist entities to the extent required by California law. For information on our compliance with consumer rights laws, including the California Consumer Privacy Act (CCPA), please visit <https://www.truist.com/privacy>.

**Vermont Residents:** We will not disclose information about your creditworthiness to our affiliates and will not disclose your personal information, financial information, credit report, or health information to nonaffiliated third parties to market to you, other than as permitted by Vermont law, unless you authorize us to make those disclosures. Additional information concerning our privacy policies can be found at <https://www.truist.com/privacy>.

**Nevada Residents:** We are providing this notice under Nevada state law. If you prefer not to receive marketing calls from Truist, you may be placed on our internal Do Not Call List using the options above (see the "To limit our sharing" section above). For more information on this Nevada law, contact the Bureau of Consumer Protection, Office of the Nevada Attorney General, 100 N. Carson Street, Carson City, NV 89703; Phone: 702.486.3132; Email: [aginfo@ag.nv.gov](mailto:aginfo@ag.nv.gov).

**Use of Third Parties:** We have arrangements with companies whose experience is essential for our own services to operate properly. These companies, some of which may be located outside the United States, work at TIS's direction, only receive the information necessary to perform these functions, and adhere to TIS's data security guidelines.

**Credit Reporting:** We may report information about your account(s) to credit bureaus. Late payments, missed payments, or other defaults on your account(s) may be reflected in your credit report.

**Do Not Call Policy:** This is notice of TIS's Do Not Call Policy under the Telephone Consumer Protection Act. TIS abides by all federal and state regulations on telephone usage, including the maintenance of an internal Do Not Call List for consumers to request to no longer receive telephone solicitations and marketing from TIS. Consumers who ask to be included on TIS's internal Do Not Call List will be removed from future campaigns. This opt out will remain in effect unless the consumer opts back in.

**For Insurance Customers in AZ, CA, CT, GA, IL, ME, MA, MN, MT, NV, NJ, NC, OH, OR and VA only:** We may give your personal information obtained for an insurance product (ex. annuity) to state insurance officials, law enforcement or other governmental bodies, and insurance support companies as permitted or required by law. We may give your personal information to insurance support companies that may keep it or disclose it to others. You may ask us for a copy of your personal information obtained in an insurance transaction by calling 866-486-3215. We will provide the requested information (unless privileged) so long as it is reasonably retrievable and within our control. If you believe the information provided is incorrect, please call us at the number above to request any corrections, amendments, or deletions and we will review it. If we agree, we will update our records. Otherwise, you may dispute our findings by filing a written statement with us.